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April 15, 1999

Hon. Assistant Commissioner for Patents
Washington D.C. 20231

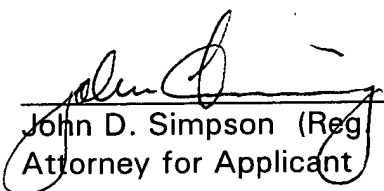
Re: Proposed Patent Application for KOJI MORIGUCHI, MITSU HARU YONEMURA, KAZUHITO KAMEI, MASARU ABE, HIDEYA KAMINAKA, NORIYUKI NEGI, ATSUO OMARU, and MASAYUKI NAGAMINE, entitled GRAPHITE POWDERS SUITED FOR NEGATIVE ELECTRODE MATERIAL OF LITHIUM ION SECONDARY BATTERY, Attorney Docket No. P99,0653

S I R:

Under the provisions of 37 CFR § 1.41 (c), I am filing the attached application with 9 claims, 10 sheets of formal drawings and filing fee on behalf of KOJI MORIGUCHI, MITSU HARU YONEMURA, KAZUHITO KAMEI, MASARU ABE, HIDEYA KAMINAKA, NORIYUKI NEGI, ATSUO OMARU, and MASAYUKI NAGAMINE, and request that the application papers be assigned a serial number and filing date.

I request that the application be assigned a Serial No. and Filing Date pursuant to the provisions of 37 C.F.R. § 1.53(b) and 37 C.F.R. § 1.53(d).

Respectfully submitted,


John D. Simpson (Reg No. 19,842)
Attorney for Applicant

UTILITY PATENT APPLICATION TRANSMITTAL

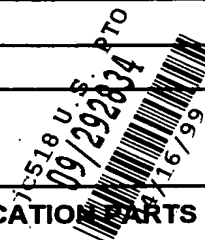
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P99,0653

First Named Inventor or Application Identifier

Koji Moriguchi et al,

Express Mail Label No:



ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification [Total Pages 75]
2. ☒ Drawing(s) (35USC 113) [Total Pages 10]
3. ☒ Declaration and Power of Attorney [Total Pages 3]

- a. ☐ Newly executed declaration (original copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
Inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 3b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired
(Faxed copy of original)
12. ☐ Certified Copy of Priority Document(s) Japanese
Application No. P10-111001 filed April 21, 1998
13. ☐ Other:

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

COURT FEE				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$760.00
TOTAL CLAIMS 20	9			
INDEPENDENT CLAIMS 3	1			
	ANY MULTIPLE DEPENDENT CLAIMS? (X)YES () NO			\$260.00
			TOTAL FILING FEE ->	\$1020.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 08-2290. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$ 1020.00 to cover the filing fee is enclosed.

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SIGNATURE: Ken Westergaard
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DATE: April 15, 1999
U-11